

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
13676.168 (48930-01530)

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTSBox Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

MULTI-LAYERED MAGNETIC PIGMENTS AND FOILS

and invented by:

Roger W. Phillips, et al.

16591 U.S. PTO
10/637605
08/08/03If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/844,261

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 82 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☐ Formal Number of Sheets _____
- b. ☒ Informal Number of Sheets 5
4. ☒ Oath or Declaration
- a. ☐ Newly executed *(original or copy)* ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. ☐ Application Data Sheet (See 37 CFR 1.76)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

9. ☒ Assignment Papers *(cover sheet & document(s))*
10. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
11. ☐ English Translation Document *(if applicable)*
12. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail *(Specify Label No.):* EV 300738196 US

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Accompanying Application Parts (Continued)

17. ☒ Additional Enclosures *(please identify below)*:

Petition to Add Inventor Under 37 C.F.R. § 1.324; Change of Address; Supplemental Declaration, Power of Attorney and Petition; Statement of Thomas Mayer Under 37 C.F.R. § 148; Statement of Flex Products, Inc.

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

18. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

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Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	16	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	1	- 3 =	0	x \$84.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$750.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$750.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 08-2665 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$750.00 as filing fee.
- ☐ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).


Signature

David O. Seeley, Reg. 30,148
Holme Roberts & Owen LLP
299 South Main, Suite 1800
Salt Lake City, UT 84111
801-521-5800

Dated: August 8, 2003

CC:

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Roger W. Phillips et al.

not assigned


August 8, 2003

MULTI-LAYERED MAGNETIC PIGMENTS AND FOILS

I hereby certify that the below listed documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee," certificate # ER 300738196 US under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450.

1. Utility Patent Application Transmittal
2. Specification (from parent application)
3. Informal Drawings (5 sheets)
4. Oath and Declaration (from parent application)
5. Preliminary Amendment
6. Petition to Add Inventor
7. Supplemental Declaration, Power of Attorney and Petition
8. Consent of Flex Products, Inc.
9. Statement of Thomas Mayer
10. Assignment Recordation Form w/Assignment for Thomas Mayer

Dated this 5th day of August 2003.



JoAnn Dawden
HOLME ROBERTS & OWEN, LLP
299 South Main, Suite 1800
Salt Lake City, UT 84111
801-521-5800

EXPRESS MAIL LABEL NO.: EV300738196 7US

Docket: 48930-01530

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Roger W. Phillips et al.)
)
Serial No.:	not assigned)
)
Filed:	August 8, 2003)
)
For:	MULTI-LAYERED MAGNETIC PIGMENTS)
	AND FOILS)
)

CHANGE OF ADDRESS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The application filed herewith is a continuation of U.S. Patent Application serial no. 09/844,261, filed April 27, 2001. Since the filing and prosecution of this prior application Applicant's attorney has moved to a new law firm. Please send all further correspondence and any contact to Applicant's attorney should be made as indicated below.

David O. Seeley
Holme Roberts & Owen
299 South Main, Suite 1800
Salt Lake City, UT 84111
801-521-5800 (phone)
801-521-9639 (facsimile)

Dated this 8th day of August, 2003.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "David O. Seeley". The signature is fluid and cursive, with the first name "David" and last name "Seeley" clearly distinguishable.

David O. Seeley
Attorney for Applicant
Registration No. 30,148
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299 South Main, Suite 1800
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